

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371		ATTORNEY'S DOCKET NUMBER SOF-01-US U.S. APPLICATION NO. (If known, 37 CFR 1.5) 10/537985
INTERNATIONAL APPLICATION NO. PCT/US2003/039593	INTERNATIONAL FILING DATE 11 DEC 2003	PRIORITY DATE CLAIMED 11 DEC 2002
TITLE OF INVENTION <p style="text-align: center;">System and Method for Speech Processing Using Independent Component Analysis Under Stability Restraints</p>		
APPLICANT(S) FOR DO/EO/US <p style="text-align: center;">VISSER, Eric; and LEE, Te-Won</p>		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. 3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. <input checked="" type="checkbox"/> The US has been elected (Article 31). 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input checked="" type="checkbox"/> has been communicated by the International Bureau. c. <input checked="" type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). a. <input type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). 7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input checked="" type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: 11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. <input type="checkbox"/> A preliminary amendment. 14. <input type="checkbox"/> An Application Data Sheet under 37 CFR 1.76. 15. <input type="checkbox"/> A substitute specification. 16. <input type="checkbox"/> A power of attorney and/or change of address letter. 17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. 18. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4). 19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 20. <input type="checkbox"/> Other items or information:		

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATION NO. (If known, see 37 CFR 1.53) <div style="font-size: 1.5em; font-weight: bold;">10/537985</div>		INTERNATIONAL APPLICATION NO. PCT/US2003/039593		ATTORNEY'S DOCKET NUMBER SOF-01-US	
The following fees have been submitted				CALCULATIONS	PTO USE ONLY
21. <input checked="" type="checkbox"/> Basic national fee..... \$300				\$ 300	
22. <input checked="" type="checkbox"/> Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)..... \$100 All other situations..... \$200				\$ 200	
23. <input checked="" type="checkbox"/> Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority..... \$100 International Search Report prepared and provided to the Office..... \$400 All other situations..... \$500				\$ 100	
TOTAL OF 21, 22 and 23 =				\$ 600	
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE		
- 100 =	/50 =		x \$250	\$	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h)).				\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$	
Total claims	54 - 20 =	34	x \$ 50	\$ 1700	
Independent claims	3 - 3 =	0	x \$200	\$	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)				+ \$360	\$
TOTAL OF ABOVE CALCULATIONS =				\$ 2300	
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.					
SUBTOTAL =				\$ 1150	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).				+	\$
TOTAL NATIONAL FEE =				\$ 1150	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				+	\$ 40
TOTAL FEES ENCLOSED =				\$ 1190.00	
				Amount to be refunded:	\$
				Amount to be charged:	\$
a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. _____. A duplicate copy of this sheet is enclosed. d. <input checked="" type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2088.					
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.437(a) or (b)) must be filed and granted to restore the International Application to pending status.					
SEND ALL CORRESPONDENCE TO:					
William J Kolegraff 3119 Turnberry Way Jamul, CA 91935 Fax: (619) 401-8008			<div style="font-size: 1.5em; font-weight: bold;">SIGNATURE</div> <div style="font-size: 1.2em; font-weight: bold;">NAME</div> <div style="font-size: 1.2em; font-weight: bold;">41,125</div> <div style="font-size: 0.8em; font-weight: bold;">REGISTRATION NUMBER</div>		

10/537985

JC20 Rec'd PCT/PTO 09 JUN 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Erik Visser, et al.)	
)	Art Unit:
Serial No.:	not assigned)	
)	Examiner:
Filed:)	
)	
Title:	System and Method for Speech)	
	Processing Using)	
	Independent Component)	
	Analysis Under Stability)	
	Restraints)	
<hr/>)	

Mail Stop PCT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

NATIONAL APPLICATION UNDER 35 USC 371

Dear Sir:

Applicants respectfully submit the enclosed National Phase Application pursuant to 35 U.S.C. §371. The international application was filed on 11 December 2003, and claims priority to US patent application no. 60/432,691, filed 11 December 2002 and 60/502,253, filed 12 September 2003. Accordingly, this filing is made within the 30 month period following the earliest priority date.

This filing package consists of the following documents:

- This Cover Letter (2 pgs.)
- Transmittal Letter to the US/DO/EO (2 pgs.)
- Copy of Declaration as filed in PCT Request
- Assignment and Cover Sheet (3 pgs.)
- Credit Card Form (1 pg.)
- Certificate of Express Mailing (1 pg.)
- Return pre-paid postcard

Please direct any questions or correspondence to the undersigned attorney for the applicants.

Respectfully submitted,



William J. Kolegraff
Reg. No. 41.125
3119 Turnberry Way
Jamul, CA 91935
Phone: 619-401-8008
Fax: 619-401-0808

10/537985

JC20 Rec'd PCT/PTO 09 JUN 2005

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

For a patent application filed under 35 U.S.C. 371
and entitled

SYSTEM AND METHOD FOR SPEECH PROCESSING USING INDEPENDENT COMPONENT ANALYSIS UNDER STABILITY RESTRAINTS

ER 621628132 US

"Express Mail" Label number

June 9, 2005

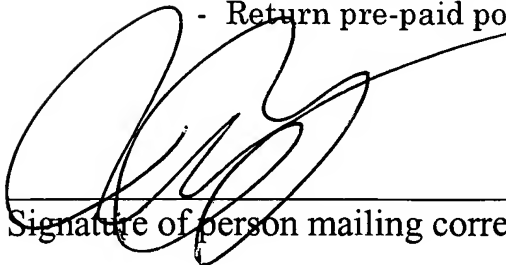
Date of Deposit

I hereby certify that the below listed correspondence is being deposited with
the United States Postal Service "Express Mail Post Office to Addressee
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Correspondence in deposit:

- Cover Letter (2 pgs.)
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Signature of person mailing correspondence

William J. Kolegraff

Typed or printed name of person mailing correspondence